



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR			TORNEY DOCKET NO.
0.97172,0	97172,053 10715798 PUPULS			4	5725, 0134-01
_		HM42/0107	7	EX	AMINER
FINNERAN HENDERSON TARABOW GARRETT & DUNNER			'	WEBMAN, E	
1300 J S	TREET NW			ART UNIT	PAPER NUMBER
WASHINGT	ON DC 20005-3	315		3617	
				DATE MAILED:	01/07/99

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

	Application No.	Applicant(s)
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Office Action Summary	Examiner	Group Art Unit
	WEBMA	· · · · · · · · · · · · · · · · · · ·
The MAILING DATE of this communication app	pears on the cover sheet	beneath the correspondence address—
Period for Response	,	
A SHORTENED STATUTORY PERIOD FOR RESPONSE MAILING DATE OF THIS COMMUNICATION.	IS SET TO EXPIRE	MONTH(S) FROM THE
 Extensions of time may be available under the provisions of 37 C from the mailing date of this communication. If the period for response specified above is less than thirty (30) of the less than thirty (30) of the less than thirty (30). If NO period for response is specified above, such period shall, by Failure to respond within the set or extended period for response. 	days, a response within the statu y default, expire SIX (6) MONTH	utory minimum of thirty (30) days will be considered time
Status		
Responsive to communication(s) filed on	10/15/98	
☐ This action is FINAL.	, ,	
 Since this application is in condition for allowance exc accordance with the practice under Ex parte Quayle, 	cept for formal matters, pro 1935 C.D. 1 1; 453 O.G. 2	secution as to the merits is closed in 13.
Disposition of Claims		
Claim(s)	is/are pending in the application.	
Of the above claim(s)		
☐ Claim(s)	is/are allowed.	
☐ Claim(s)	is/are rejected.	
☐ Claim(s)	is/are objected to.	
\times Claim(s) $1-23$	are subject to restriction or election requirement.	
Application Papers		requirement.
☐ See the attached Notice of Draftsperson's Patent Dra	wing Review, PTO-948.	
☐ The proposed drawing correction, filed on		
☐ The drawing(s) filed on is/are of	bjected to by the Examiner.	•
☐ The specification is objected to by the Examiner.		
☐ The oath or declaration is objected to by the Examine	er.	
Priority under 35 U.S.C. § 119 (a)-(d)		
 □ Acknowledgment is made of a claim for foreign priorit □ All □ Some* □ None of the CERTIFIED copies □ received. 		
☐ received in Application No. (Series Code/Serial Nu	· · · · · · · · · · · · · · · · · · ·	
$\hfill\Box$ received in this national stage application from the	International Bureau (PCT	Rule 1 7.2(a)).
		·
*Certified copies not received:		
*Certified copies not received: Attachment(s)		
·		Interview Summary, PTO-413
Attachment(s)	er No(s)	Interview Summary, PTO-413 Notice of Informal Patent Application, PTO-15

Application/Control Number: 09/172,853

Art Unit: 1617

Restriction to one of the following inventions is required under 35 U.S.C. 121:

I. Claims 1-19, drawn to a composition, classified in class 525, subclass 55+.

II. Claims 20-23, drawn to a method of using, classified in class 424, subclass 70.16.

The inventions are distinct, each from the other because:

Inventions I and II are related as product and process of use. The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product (MPEP § 806.05(h)). In the instant case the process as claimed can be practiced with a materially different product such as with a vinyl acetate/vinyl pyrrolidone copolymer.

Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification, restriction for examination purposes as indicated is proper.

Should Group I be elected, the following election of species is required:

This application contains claims directed to the following patentably distinct species of the claimed invention: anionic, cationic, amphoteric and nonionic polymers of claims 9-17.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, fixing and conditioning polymers are generic.

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Applicant is advised that a reply to this requirement must include an identification of the species that is elected consonant with this requirement, and a listing of all claims readable thereon, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

Should Group II be elected, the following election of species is required:

This application contains claims directed to the following patentably distinct species of the claimed invention: the method of improving vaporization (claims 20-21) and the cosmetic treatment (claims 22-23).

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Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, the method of use is generic.

Applicant is advised that a reply to this requirement must include an identification of the species that is elected consonant with this requirement, and a listing of all claims readable thereon, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

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A phone restriction was not attempted due to the complexity of the requirement.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Edward J. Webman whose telephone number is (703) 308-4432. The examiner can normally be reached on M-F from 9:00 a.m. to 5:00 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Donald E. Adams, Ph.D., can be reached on (703) 308-0570. The fax phone number for the organization where this application or proceeding is assigned is (703) 305-3592.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-1235.

E.Webman:jmr

Dec. 30, 1998

EDWARD . WEGING PRIMARYEXAMINER GROUP 1500